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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 29930.4500

First Inventor Karen Mack

Title DECORATIVE CANDLE

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL608993215US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 2023: Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer 7. (Submit an original, and a duplicate for fee processing) Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2 See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) a. Specification [Total Pages 3. 18 (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the invention CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D paper - Reference to sequence listing, a table, or a computer program listing appendix C. Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 9. - Claim(s) 37 CFR 3.73(b) Statement Power of Attorney 10. - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) 11. Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12. Statement (IDS)/PTO-1449 Citations [Total Pages 5. Oath or Declaration 3. Preliminary Amendment Newly executed (original or copy) a. Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) 15. (if foreign priority is claimed) Request and Certification under 35 U.S.C. 122 <u>DELETION OF INVENTOR(S)</u> 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting inventor(s) or its equivalent. named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other: Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) of prior application No.: Continuation Prior application information: Group / Art Unit Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) William F. Mulholland, II Name Snell & Wilmer L.L.P. One Arizona Center Address 400 East Van Buren 85004-2202 Zip Code State Arizona Citv Phoenix 602/382-6070 Telephone 602/382-6281 Country TISA Registration No. (Attorney/Agent) Name (Print/Type) 45,684 William F. Mulholland, II April 30, 2001 Date Sianature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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\$0.00

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Co	Complete if Known	•		
Application Number	TBA			
Filing Date	April 30, 2001			
First Named Inventor	Karen Mack			
Examiner Name	TBA			
Group Art Unit	TBA			
Attorney Docket No.	29930.4500			

METHOD OF PAYMENT	FEE CALCULATION (continued)								
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:									
Deposit Deposit	Large E	Fee	Fee	Fee	Fee I	Fee Description		Fee Paid	
Account Number	Code 105	(\$) 130	Code 205	(\$) 65	Surcharge - late	•			
Deposit	127	50	227		•	•	filing fee or cover		
Account Name					sheet	•			
Charge Any Additional Fee Required	139	130	139		Non - English sp				
Under 37 CFR §§ 1 16 and 1.17	1	2,520				•	arte reexamination		
Applicant claims small entity status See 37 CFR § 1 27	112	920*	112	920*	action	lication of S	IR prior to Examiner		
2. Payment Enclosed:	113	1,840*	113	1,840*	Requesting pub	lication of S	IR after Examiner		
Check Credit card Money Order Other	115	110	215	55	Extension for re	ply within fir	st month		
FEE CALCULATION	116	390	216	195	Extension for re	ply within se	econd month		
1. BASIC FILING FEE	117	890	217	445	Extension for re	ply within th	ird month		
Large Entity Small Entity	118	1,390	218	695	Extension for re	ply within fo	urth month		
Fee Fee Fee Fee Description		1,890	228	945	Extension for re	Extension for reply within fifth month			
Code (\$) Code (\$) Fee Paid 101 710 201 355 Utility filing fee 0.00	119	310	219	155	Notice of Appea	ıl.			
106 320 206 160 Design filling fee		310	220	155	Filing a brief in support of an appeal				
107 490 207 245 Plant filing fee		270	221	135	Request for oral	l hearing			
108 710 208 355 Reissue filing fee		1,510	138	1,510	Petition to institu	ute a public	use proceeding		
114 150 214 75 Provisional filing fee		110	240	55	Petition to revive	e - unavoida	ble		
SUBTOTAL (1) \$0.00		1,240	241	620	Petition to revive - unintentional				
a EVEDA OLAMA EEEO		1,240	242	620	Utility issue fee (or reissue)				
2. EXTRA CLAIM FEES Extra Claims Fee from below Fee Paid Total Claims -20** =		440	243	220	Design issue fee				
		600	244	300	Plant issue fee				
		130	122	130	Petitions to the Commissioner				
		50	123	50	Processing fee under 37 CFR § 1.17(q)				
		180	126	180	Submission of Information Disclosure Statement				
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40			gnment per property		
103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))				
102 80 202 40 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined				
104 270 204 135 Multiple dependent claim, if not paid	179	740	279	355	(37 CFR § 1.12		mination (RCF)		
109 80 209 40 ** Reissue independent claims over original patent	179	710 900	169	900	Request for Continued Examination (RCE) Request for expedited examination				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		of a design application Other fee (specify)							
SUBTOTAL (2) \$0.00		•							
**or number previously paid, if greater; For Reissues, see above	*Red	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)							
SUBMITTED BY Complete (if applicable)									
Name (Print/Type) William F. Mulholland, II		Registration No. (Attorney/Agent)			45,684	Telephone			
Signature OO T		7 N	\			Date	April 30, 20	01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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— L.L.P. —

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William F. Mulholland, II Associate 602-382-6281 wmulholland@swław.com

April 30, 2001

VIA EXPRESS MAIL NO. EL608993215US

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

Re: US Non-Provisional Patent Application, Mack, et al.

DECORATAIVE CANDLEOur File No.: 29930.4500

Dear Sir or Madam:

Enclosed are the following documents:

- 1. Utility Patent Application Transmittal;
- 2. Fee Transmittal for FY 2001;
- 3. Return Receipt Postcard (postage prepaid);
- 4. Glitter Candle Specification.

The required declaration and fees will be submitted subsequently. Please send correspondence regarding this application to the undersigned attorney at the address provided above. Please accord this application a serial number and filing date pursuant to 37 C.F.R. §1.53, and advise the undersigned thereof.

Sincerely,

Snell & Wilmer

William F. Mulholland, II

Reg. No. 45,684

WFM:bf Enclosures

cc: Richard G. Harrer (via regular mail)